

Astra Wealth Partners LLC
Customer Relationship Summary
(Form CRS, Form ADV Part 3)
January 30, 2023



Item 1: Introduction

Astra Wealth Partners LLC is a registered investment adviser registered with the United States Securities and Exchange Commission. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences.

Free and simple tools are available to research firms and financial professionals at investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Item 2: Relationships and Services

What investment services and advice can you provide me?

We provide investment advisory services to retail investors.

Our core offering is **Wealth Management Services**, a comprehensive offering that includes both Portfolio Management Services and Financial Planning Services. Portfolio Management Services and Financial Planning Services are also available separately.

Our **Portfolio Management Services** provide individually-tailored investment portfolios based on your individual needs, managed on an ongoing basis. We gather information to determine your individual needs, time horizon, risk tolerance, and liquidity needs, and help you establish your financial goals and investment objectives. We then develop a personal investment profile or investment plan that includes an asset allocation target for your portfolio. Investment portfolios typically include mutual funds, exchange-traded funds (ETFs), stocks or bonds, depending on your investment plan. Typically, we have discretionary authority to buy and sell securities in your account. With this authority we can transact securities without obtaining your approval or consent prior to affecting the transaction. However, these transactions are subject to your established investment plan. On at least a quarterly basis we will review the performance of your account and the applied investment strategy to ensure it remains appropriate. Additional as-needed reviews may be conducted due to unusual or volatile performance, addition

ASK YOUR INVESTMENT ADVISER:

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

or deletions of account restrictions, excessive withdrawals, etc. Accounts may also be reviewed upon significant market, economic or political events. We do not impose a minimum account size or minimum amount of investable assets. However, we do impose a minimum annual fee based on complexity, which starts at \$5,000. We reserve the right to waive or reduce this minimum annual fee at our discretion. Any minimum annual fee will be disclosed in your advisory agreement.

Our **Financial Planning Services** are customized to your individual needs, and provide assistance on financial topics such as investments, retirement planning, insurance, tax planning, estate planning, and education funding. Ongoing monitoring and follow-up meetings depend on each client's individual situation. Financial planning recommendations made with regard to accounts for which we do not provide Portfolio Management Services are provided on a non-discretionary basis, and you are responsible for initiating any transactions necessary to implement our recommendations.

This is a brief summary of our services. Additional information is available in Item 4 of our Form ADV Part 2A ("Disclosure Brochure"). See [Item 5 – Additional Information](#) below for instructions on how to obtain a copy of our Disclosure Brochure.

Item 3: Fees, Costs, Conflicts, Standard of Conduct

What fees will I pay?

Principal Fees and Costs. Fees for **Wealth Management Services** and **Portfolio Management Services** are typically charged an asset-based fee calculated as a percentage of assets under management as shown in the schedule below:

Assets Under Management	Annualized Asset-Based Fee
\$0.00 to \$3,000,000.00	0.90% (90 basis points)
\$3,000,000 and above	0.50% (50 basis points)

The fee is calculated on the value of your account on the last market day of the quarter, including any cash or cash equivalents, paid quarterly in arrears. Advisory fees for partial quarters will be prorated based on the days in the reporting period in which we provide services on your account. In addition, fees will be adjusted for cash inflows and outflows that occur during the quarter, prorated by the number of days the assets were in the account during the quarter. In some cases, we may charge an annual fixed fee, determined at the time we initially enter into an advisory agreement. Legacy clients may have different fee schedules than that shown above. Your specific fee will be set forth in your advisory agreement.

Fees for **Financial Planning Services**, when provided as a separate service, is a fixed annual fee determined at the time we enter into an advisory agreement with you. The fee is based on the complexity of your financial situation. When offered in conjunction with Wealth Management Services, typically you will pay one fee that covers both Portfolio Management Services and Financial Planning Services.

Wrap Fee Programs. We do not offer a wrap fee program

Other Fees and Costs. In addition to our advisory fees, you may incur other fees and costs, such as custodian fees, account maintenance fees, fees related to mutual funds, or other administrative fees. Please refer to our Item 5 of our Disclosure Brochure for details on additional fees.

Additional Information. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Additional information about our fees is available in Item 5 of our Disclosure Brochure. See [Item 5 – Additional Information](#) below for instructions on how to obtain a copy of our Disclosure Brochure.

ASK YOUR INVESTMENT ADVISER:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money, and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice, we provide you. Here are some examples to help you understand what this means.

Conflicts of Interest. An asset-based fee presents a conflict of interest because the more assets you have in your advisory account, the more you will pay in fees; therefore, we may have an incentive to encourage you to increase the assets in your account.

ASK YOUR INVESTMENT ADVISER:

How might your conflicts of interest affect me, and how will you address them?

Clients may also elect to receive tax return preparation or tax consulting services. These services may be provided by Astra Tax Partners LLC, separately owned by principals of our firm, or by an unrelated third-party tax service provider. If you

elect to receive and pay for services through Astra Tax Partners, the principals of the firm receive economic benefit. Clients are not required to use the services of Astra Tax Partners. In addition, at our discretion, we may elect to pay the cost of these services on your behalf, based upon your relationship with our firm.

Astra Wealth Partners is the sole shareholder of AWP Quant LLC, which has an investment account in which we conduct proprietary trading activities to track the performance of an investment strategy. AWP Quant does not use client funds and does not conduct trading with our clients. Clients have not invested in AWP Quant and do not have the opportunity to do so at this time. AWP Quant will not trade in the same security on the same day as clients. It is our opinion that no potential conflict of interest exists.

How do your financial professionals make money?

As shareholders in the firm, our financial professionals are compensated based on the overall profitability of the firm. Financial professionals also receive a salary and discretionary bonuses. We do not sell investment products, so our professionals do not receive commissions and do not have conflicts of interest when recommending investments.

Item 4: Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No.

Visit investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

ASK YOUR INVESTMENT ADVISER:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5: Additional information

ASK YOUR INVESTMENT ADVISER:

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker dealer?

Who can I talk to if I have concerns about how this person is treating me?

Additional information is available in our Disclosure Brochure. An up-to-date copy of this document, as well as our current Form CRS is available on our website at www.astrawp.com. You may also call us at (800) 805-8740 or send an email to compliance@astrawp.com to obtain copies of these documents at any time.

Additional information about our firm is also available on the SEC's website at www.adviserinfo.sec.gov by conducting a Firm Search using our CRD No. 321136.

Exhibit A – Summary of Material Changes

Since our initial Form CRS dated April 28, 2022, we have made the following material changes:

- For Wealth Management and Portfolio Management Services, our minimum annual fee is typically \$5,000.
- We have included details regarding our asset-based fee schedule in Item 3.
- In Conflicts of Interest in Item 3, we have added information regarding our ownership of and activities in AWP Quant LLC.
- We do not currently recommend Outside Managers to manage client portfolios. Therefore, we have removed all references to Outside Managers.